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PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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|   |    |                        |                    |
|---|----|------------------------|--------------------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) |    | Application No.        | 09/871,415         |
|   |    | Filing Date            | May 30, 2001       |
|   |    | First Named Inventor   | Stephen G. Perlman |
|   |    | Group Art Unit         | 2131               |
|   |    | Examiner Name          | Unassigned         |
| Total Number of Pages in This Submission  | 16 | Attorney Docket Number | 4259P070           |

| ENCLOSURES (check all that apply)  |  |  |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><br><input type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment / Response<br><br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input checked="" type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><br><input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><div style="border: 1px solid black; padding: 5px; margin-top: 10px;">Copy of PCT Search Report (4) pages.<br/>Copies of Cited Art (6).<br/>Return Postcard</div> |
| Remarks  |  | <div style="text-align: center;"><b>RECEIVED</b><br/>OCT 30 2002<br/>Technology Center 2100</div>  |
|  |  |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |  |
|--|--|
| Firm or Individual name                    | Robert B. O'Rourke, Reg. No. 46,972<br><b>BLAKELY, SOKOLOFF, TAYLOR &amp; ZAFMAN LLP</b> |
| Signature                                  | <i>[Signature]</i>   |
| Date                                       | 10/21/02   |

| CERTIFICATE OF MAILING/TRANSMISSION  |  |                    |  |
|--|--|--------------------|--|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: |  |                    |  |
| Typed or printed name  |  | Janece Shann n     |  |
| Signature  |  | <i>[Signature]</i> |  |
| Date   |  | October 21, 2002   |  |

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$ ) 0.00

## Complete if Known

Application Number 09/871,415  
Filing Date May 30, 2001  
First Named Inventor Stephen G. Perlman  
Examiner Name Unassigned  
Group/Art Unit 2131  
Attorney Docket No. 4259P070

## METHOD OF PAYMENT (check one)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None  
☐ Deposit Account

Deposit  
Account  
Number

02-2666

Deposit  
Account  
Name

Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☐ Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 101          | 740      | 201          | 370      | Utility filing fee     |          |
| 106          | 330      | 206          | 165      | Design filing fee      |          |
| 107          | 510      | 207          | 255      | Plant filing fee       |          |
| 108          | 740      | 208          | 370      | Reissue filing fee     |          |
| 114          | 160      | 214          | 80       | Provisional filing fee |          |
| SUBTOTAL (1) |          |              |          |                        | (\$ )    |

### 2. EXTRA CLAIM FEES

Total Claims  \*\* =  X  =   
Independent Claims  =  X  =   
Multiple Dependent  =

| Large Entity |          | Small Entity |          | Fee Description   |
|--------------|----------|--------------|----------|---|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |   |
| 103          | 18       | 203          | 9        | Claims in excess of 20                                    |
| 102          | 84       | 202          | 42       | Independent claims in excess of 3                         |
| 104          | 280      | 204          | 140      | Multiple Dependent claim, if not paid                     |
| 109          | 84       | 209          | 42       | **Reissue independent claims over original patent         |
| 110          | 18       | 210          | 9        | **Reissue claims in excess of 20 and over original patent |

\*\*or number previously paid, if greater, For Reissues, see below

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity        |          | Small Entity |          | Fee Description  |
|---------------------|----------|--------------|----------|--|
| Fee Code            | Fee (\$) | Fee Code     | Fee (\$) |  |
| 105                 | 130      | 205          | 65       | Surcharge - late filing fee or oath  |
| 127                 | 50       | 227          | 25       | Surcharge - late provisional filing fee or cover sheet.                    |
| 139                 | 130      | 139          | 130      | Non-English specification  |
| 147                 | 2,520    | 147          | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |
| 112                 | 920 *    | 112          | 920 *    | Requesting publication of SIR prior to Examiner action                     |
| 113                 | 1,840 *  | 113          | 1,840 *  | Requesting publication of SIR after Examiner action                        |
| 115                 | 110      | 215          | 55       | Extension for reply within first month                                     |
| 116                 | 400      | 216          | 200      | Extension for reply within second month                                    |
| 117                 | 920      | 217          | 460      | Extension for reply within third month                                     |
| 118                 | 1,440    | 218          | 720      | Extension for reply within fourth month                                    |
| 128                 | 1,960    | 228          | 980      | Extension for reply within fifth month                                     |
| 119                 | 320      | 219          | 160      | Notice of Appeal   |
| 120                 | 320      | 220          | 160      | Filing a brief in support of an appeal                                     |
| 121                 | 280      | 221          | 140      | Request for oral hearing   |
| 138                 | 1,510    | 138          | 1,510    | Petition to institute a public use proceeding                              |
| 140                 | 110      | 240          | 55       | Petition to revive - unavoidable   |
| 141                 | 1,280    | 241          | 640      | Petition to revive - unintentional   |
| 142                 | 1,280    | 242          | 640      | Utility issue fee (or reissue)   |
| 143                 | 460      | 243          | 230      | Design issue fee   |
| 144                 | 620      | 244          | 310      | Plant issue fee  |
| 122                 | 130      | 122          | 130      | Petitions to the Commissioner  |
| 123                 | 50       | 123          | 50       | Processing fee under 37 CFR 1.17(q)  |
| 126                 | 180      | 126          | 180      | Submission of Information Disclosure Stmt                                  |
| 581                 | 40       | 581          | 40       | Recording each patent assignment per property (times number of properties) |
| 146                 | 740      | 246          | 370      | Filing a submission after final rejection (37 CFR § 1.129(a))              |
| 149                 | 740      | 249          | 370      | For each additional invention to be examined (37 CFR § 1.129(b))           |
| 179                 | 740      | 279          | 370      | Request for Continued Examination (RCE)                                    |
| 169                 | 900      | 169          | 900      | Request for expedited examination of a design application                  |
| Other fee (specify) |          |              |          | IDS/PCT Search Report  |

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 0.00

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type) Robert B. O'Rourke Registration No. 46,972 Telephone (408) 720-8300  
Signature [Signature] Date 10/21/02

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